

Cross Border Tax & Accounting

Cross Border Tax & Accounting, LLC (CBTA), a wholly-owned subsidiary of Keats, Connelly and Associates, LLC (KCA), offers U.S. and Canadian clients a choice of financial planning, investment and/or tax preparation for individuals and businesses. With each service, CBTA applies the same KCA philosophy of promoting our clients' best interests at all times, while avoiding any potential conflict of interest arising out of commission or referral fee arrangements.

Financial Planning Services

Unlike Keats, Connelly and Associates, LLC comprehensive financial planning services, the Cross Border Tax & Accounting, LLC plan may address one or more areas of a cross border financial plan. CBTA plans are created by KCA staff planners as a one-time plan designed to address client goals and objectives. This one-time financial plan includes explanations and recommendations for client follow-up and implementation at an affordable one-time cost (compared to KCA annual fee). This one-time planning service works well with clients that have a straight forward situation or require guidance on only a few of the cross border issues and will be able to implement the recommendations on their own.

Investment Management Services

Investment services are provided by qualified KCA Investment staff, using the same investment philosophy of appropriate asset allocation using a streamlined CBTA model. Clients can access brokerage information and handle certain transactions via their own online account setup. An initial Investment Policy Statement (IPS) is developed to determine asset allocation, followed by annual reporting and rebalancing.

Tax Preparation Services

Both Canadian and U.S. tax preparation are offered through CBTA. An initial review and assessment of your tax documents and financial statements enables our tax management the opportunity to provide a *free* fee quote for services. The tax preparation fee structure is based on a minimum hourly fee*. As an 'Acceptance Agent' with the Internal Revenue Service, we can also assist our clients in obtaining Individual Tax Identification Numbers.

Dale A. Walters, President and CEO, holds the CERTIFIED FINANCIAL PLANNER™ designation in both the U.S. and Canada, and is a Certified Public Accountant. Dale has worked at KCA for over 15 years.

Wayne Tibbetts, Director of Tax Services, holds a Masters of Taxation (MST) and MBA degree and is a Certified Public Accountant. Having worked in the tax and financial service industry since 1986, Wayne has provided tax services to our KCA and CBTA cross-border and U.S. clients for over 12 years.

David Levine, International Tax Manager, is a Certified Public Accountant (U.S.) and a Chartered Accountant (Canada) with over 20 years of experience. David has provided tax services to our KCA and CBTA international clients since May, 2005.

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*Please refer to the Cross-Border Tax & Accounting, LLC ADV Disclosure for further information on services and fees.